

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10589775
Filing Date	2006-08-17
First Named Inventor	Torsten BALDUF, et al.
Art Unit	1621
Examiner Name	TBD
Attorney Docket Number	5003073-103US1

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	3086046		1963-04-16	N. Von Kutepow et al.	
	2	3868410		1975-02-25	Horlenko et al.	
	3	3954854		1976-05-04	Gehrmann et al.	
	4	4230888		1980-10-28	Paspek et al.	
	5	4317926		1982-03-02	Sato, et al.	
	6	4408079		1983-10-04	Merger et al.	
	7	4496770		1985-01-29	Duembgen et al.	
	8	5734075		1998-03-31	Fauconet et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10589775
Filing Date	2006-08-17
First Named Inventor	Torsten BALDUF, et al.
Art Unit	1621
Examiner Name	TBD
Attorney Docket Number	5003073-103US1

	9	6448439	B1	2002-09-10	Eck et al.	
	10	6498272	B1	2002-12-24	Schroder et al.	
	11	6679939	B1	2004-01-20	Thiel et al.	
	12	6939991	B2	2005-09-06	Thiel et al.	
	13	7112695	B2	2006-09-26	Eck et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010047106	A1	2001-11-29	Aichinger et al.	
	2	20030175159	A1	2003-09-18	Heilek et al.	
	3	20050006219	A1	2005-01-13	Eck	
	4	20050090628	A1	2005-04-28	Eck	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10589775
Filing Date	2006-08-17
First Named Inventor	Torsten BALDUF, et al.
Art Unit	1621
Examiner Name	TBD
Attorney Docket Number	5003073-103US1

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2i</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2339519	DE	A1	1974-02-14	Fisher	Corresponds to US patent 3868410	<input type="checkbox"/>
	2	2407236	DE	A1	1975-09-04	Gehrmann	Corresponds to US patent 3954854	<input type="checkbox"/>
	3	2901783	DE	A1	1979-08-02	Nippon Catalytic Chem Ind	Corresponds to US patent 4317926	<input type="checkbox"/>
	4	10017903	DE	A1	2001-10-18	Eck	Corresponds to US patent publication 20030175159 and US patent 7112695	<input type="checkbox"/>
	5	10036880	DE	A1	2002-02-07	Heilek	Corresponds to US patent publication 20030175159 and US patent 7112695	<input type="checkbox"/>
	6	10036881	DE	A1	2002-02-07	Heilek	Corresponds to US patent publication 20030175159 and US patent 7112695	<input type="checkbox"/>
	7	10039025	DE	A1	2002-02-21	Eck	Corresponds to US patent publication 20030175159 and US patent 7112695	<input type="checkbox"/>
	8	10332758	DE	A1	2004-05-27	Eck	Corresponds to US patent publications 20050006219 and 20050090628	<input type="checkbox"/>
	9	19701737	DE	A1	1998-07-23	Aichinger	Corresponds to US patent publication 20010047106	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10589775
Filing Date		2006-08-17
First Named Inventor	Torsten BALDUF, et al.	
Art Unit	1621	
Examiner Name	TBD	
Attorney Docket Number	5003073-103US1	

10	19740252	DE	A1	1999-03-18	Eck	Corresponds to US patent 6448439	<input type="checkbox"/>
11	19754014	DE	A1	1999-06-10	Eck	See English Translation	<input type="checkbox"/>
12	19924533	DE	A1	2000-11-30	Schroeder	Corresponds to US patents 6498272 and 6679939	<input type="checkbox"/>
13	0058927	EP		1982-09-01	Merger	Corresponds to US patent 4408079	<input type="checkbox"/>
14	0092097	EP	B1	1983-10-26	Duembgen	Corresponds to US patent 4496770	<input type="checkbox"/>
15	0608838	EP		1994-08-03	Ushikubo		<input type="checkbox"/>
16	0751759	EP	A	1997-01-08	Navarro	See English Translation	<input type="checkbox"/>
17	1452518	EP	A1	2004-09-01	Yada		<input type="checkbox"/>
18	2004010678	JP	A	2004-01-15	Shioji	See English Translation	<input type="checkbox"/>
19	2004035514	WO	A1	2004-04-29	Thiel	Corresponds to US patent 6939991	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10589775
Filing Date	2006-08-17
First Named Inventor	Torsten BALDUF, et al.
Art Unit	1621
Examiner Name	TBD
Attorney Docket Number	5003073-103US1

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	International Search Report mailed on July 27, 2005 in PCT/EP2005/001705.	<input type="checkbox"/>
	2	Translation of the International Preliminary Report on Patentability mailed on May 11, 2006 in PCT/EP2005/001705.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10589775
Filing Date	2006-08-17
First Named Inventor	Torsten BALDUF, et al.
Art Unit	1621
Examiner Name	TBD
Attorney Docket Number	5003073-103US1

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Karl Puttlitz/	Date (YYYY-MM-DD)	09/05/2008
Name/Print		Registration Number	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.